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Concentrated Bone Marrow Aspirate for the Treatment of Chondral Injuries and Osteoarthritis of the Knee

A Systematic Review of Outcomes

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Abstract

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Background:

Bone marrow aspirate concentrate (BMAC) has emerged as a novel treatment for pathology of the knee. Despite containing a limited number of stem cells, BMAC serves as a source of growth factors that are thought to play an important role as a result of their anabolic and anti-inflammatory effects. To our knowledge, there is no systematic review regarding the outcomes of bone marrow aspirate concentrate used for the treatment of chondral defects and osteoarthritis of the knee.

Purpose:

To perform a systematic review on the outcomes of bone marrow aspirate concentrate for the treatment of chondral defects and osteoarthritis of the knee.

Study Design:

Systematic review; Level of evidence, 4.

Methods:

A systematic review of the literature was performed using the Cochrane Database of Systematic Reviews, the Cochrane Central Register of Controlled Trials, PubMed, and MEDLINE from 1980 to present. Inclusion criteria were as follows: use of BMAC for treatment of chondral defects and osteoarthritis of the knee, English language, and human studies. We excluded cadaveric studies, animal studies, basic science articles, editorial articles, surveys, and studies that did not include the knee. After applying inclusion and exclusion criteria, studies were evaluated for efficacy and safety of BMAC for treatment of articular cartilage knee pathologies.

Results:

Eleven studies were considered. Of these, 5 were prospective studies, 1 was a retrospective study, 2 were case series, and 3 were case reports. Three comparative studies (2 with level 2 evidence, 1 with level 3 evidence) were found in our search; none of them were randomized. Three studies investigated the clinical efficacy of BMAC in the treatment of osteoarthritis, and 8 studies evaluated the efficacy of BMAC on focal cartilage injuries. All 3 studies regarding osteoarthritis and all 8 studies regarding focal chondral defects reported good to excellent overall outcomes with the use of BMAC.

Conclusion:

Although a growing interest for biological alternatives of treating knee pathology has been observed in the past few years, there still remains a paucity of high-quality studies. The studies included in this systematic review reported varying degrees of beneficial results with the use of BMAC with and without an additional procedure for the treatment of chondral defects and early stages of osteoarthritis. Most articles present the use of BMAC as a safe procedure and report good results.

Keywords: bone marrow aspirate concentrate, BMAC, knee, cartilage, regenerative therapy, systematic review

Bone marrow aspirate concentrate (BMAC) has emerged as an important biological tool for the orthopaedic surgeon because it is one of the few forms of delivering stem cells and growth factors currently approved by the United States Food and Drug Administration (FDA). However, in bone marrow aspirates, mesenchymal stem cells (MSCs) only represent 0.001% to 0.01% of mononuclear cells after density gradient centrifugation to remove red blood cells, granulocytes, immature myeloid precursors, and platelets.^{20,27} Nonetheless, BMAC serves as a source of growth factors, including platelet-derived growth factor (PDGF), transforming growth factor-beta (TGF-β), and bone morphogenetic protein (BMP)-2 and BMP-7, which are assigned to have anabolic and anti-inflammatory effects.^{13,21,30}

The available literature regarding BMAC is limited and highly heterogeneous with respect to indications, timing, and outcomes. To our knowledge, there is no systematic review regarding the outcomes of BMAC used for the treatment of chondral defects and osteoarthritis of the knee. The purpose of this study was to systematically review the literature on BMAC outcomes for the treatment of chondral defects and osteoarthritis of the knee.

Methods

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Article Identification and Selection

This study was conducted in accordance with the 2009 Preferred Reporting Items for Systematic Review and Meta-Analysis (PRISMA) statement.²² A systematic review of the literature regarding the existing evidence for outcomes for the treatment of chondral defects and osteoarthritis of the knee with BMAC was performed using the Cochrane Database of Systematic Reviews, the Cochrane Central Register of Controlled Trials, PubMed (1980-2014), and MEDLINE (1980-2014). The queries were performed in July 2015.

The literature search strategy included the following: search 1: (“bone marrow”[MeSH Terms] OR “bone marrow”[All Fields]) AND (“aspirate”[All Fields] OR “concentrate”[All Fields]) AND (“knee”[All Fields] OR “knee”[MeSH Terms]), search 2: (“BMAC” OR “bone marrow aspiration concentrate” OR “bone marrow aspiration”) AND (“knee” OR “knee joint” OR “knee arthritis” OR “knee osteoarthritis” OR “patellofemoral”) AND (“treatment” OR “therapy”), and search 3: bone[All fields] AND marrow[All fields] AND aspirate[All fields] AND (“knee”[Mesh Terms] OR (“knee”[All fields] AND “joint”[All fields]) OR “knee joint” [All fields]).

Inclusion criteria were as follows: BMAC for the treatment of cartilage defects or osteoarthritis, English language, and human studies. Exclusion criteria consisted of cadaveric studies, animal studies, basic science articles, editorial articles, surveys, special topics, letters to the editor, personal correspondence, studies that did not include the knee or BMAC for treatment, or studies of other pathologies not related to the cartilage.

Three investigators (J.C., C.S.D., G.M.) independently reviewed the abstracts from all identified articles. Full-text articles were obtained for review if necessary to allow further assessment of inclusion and exclusion criteria. Additionally, all references from the included studies were reviewed and reconciled to verify that no relevant articles were missing from the systematic review.

Data Collection

The level of evidence of the studies was assigned according to the classification as specified by Wright et al.³⁵ The information was collected from the included studies. Patient demographics, follow-up, and objective and subjective outcomes were extracted and recorded. For continuous variables (eg, age, timing, follow-up, outcome scores), the mean and range were collected if reported. Data were recorded into a custom Microsoft Excel spreadsheet (Microsoft Corp) using a modified information extraction table.¹¹

Bias

Studies classified as level of evidence 3 or 4 can potentially be affected by selection and performance bias because of the lack of randomization and prospective comparative control groups (evidence level 4), especially in populations characterized by heterogeneity of injuries. Selected studies were reviewed to ensure that authors minimized bias while recognizing the constraints present with such studies.

Results

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[Figure 1](#) demonstrates the selection criteria of the studies found with our search. The systematic search performed using the previously mentioned keywords identified 20 studies. Of these, 17 were clinical articles while 3 articles used animal models and were excluded. From the clinical articles found, 5 were prospective studies,^{9,10,17,31,32} 2 were retrospective,^{2,34} 4 were case reports,^{7,14,15,23} 4 were case series,^{3,4,9,12} 1 was a surgical technique description,²⁶ and 1 was a systematic review.⁵ We excluded the systematic review of

treatment of chondral defects because it was specifically on the use of MSCs and not specific for BMAC nor the knee joint.⁵ Two of the case reports, which described BMAC as an augmentation tool for meniscal healing¹⁴ and patellar tendinopathy,²³ were also excluded as well as the retrospective study regarding BMAC treatment for avascular osteonecrosis after chemotherapy.³⁴ The surgical technique article²⁶ was also excluded. One case report¹⁵ was excluded because the bone marrow mesenchymal cells were harvested and isolated and then expanded in the laboratory before being implanted at a later stage. After applying all exclusion criteria, 11 studies were considered for insightful data analysis.

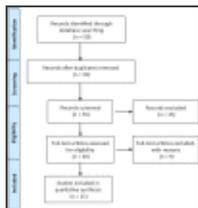


Figure 1. Flowchart showing selection process of systematic review.

Eight of the included studies focused on BMAC for the treatment of focal chondral defects. All studies on chondral defects included in this review reported good efficacy of BMAC in treating chondral defects, either used in combination with or without microfracture. The details of each of these studies including outcome scores and radiologic findings can be found in [Tables 1](#) and [2](#).

| Study | Year | Level of Evidence | Author | Year | Level of Evidence | Outcome | Additional | Grade |
|----------------------------|------|-------------------|---------------|------|-------------------|----------------------------------|------------|-------|
| Chaffin et al ¹ | 2010 | III | Chaffin et al | 2010 | III | Cartilage repair, pain, function | None | 1 |
| Chaffin et al ² | 2011 | III | Chaffin et al | 2011 | III | Cartilage repair, pain, function | None | 1 |

TABLE 1
Focal Cartilage Defect Studies^a

| Study | Cell Number or Type | Marrow Harvesting and Concentration | Stratification of Defect |
|----------------------------|---------------------|-------------------------------------|--------------------------|
| Chaffin et al ¹ | 1.5 million cells | 100% BMAC | Stratified |
| Chaffin et al ² | 1.5 million cells | 100% BMAC | Stratified |

TABLE 2
Focal Cartilage Defect Studies: Cell Number and Type, Marrow Harvest and Concentration, Stratification of Defect^a

Three studies evaluated the efficacy of BMAC for treatment of osteoarthritis (OA). All 3 studies report good efficacy with improvement of pain and function. Detailed results of the studies on BMAC for the treatment of OA can be found in [Tables 3](#) and [4](#).

| Study | Year | Level of Evidence | Author | Year | Level of Evidence | Outcome | Additional | Grade |
|----------------------------|------|-------------------|---------------|------|-------------------|----------------|------------|-------|
| Chaffin et al ³ | 2011 | III | Chaffin et al | 2011 | III | Pain, function | None | 1 |
| Chaffin et al ⁴ | 2012 | III | Chaffin et al | 2012 | III | Pain, function | None | 1 |

TABLE 3
Knee Osteoarthritis Studies^a

| Study | Cell Number or Type | Marrow Harvesting and Concentration | Stratification of Defect |
|----------------------------|---------------------|-------------------------------------|--------------------------|
| Chaffin et al ³ | 1.5 million cells | 100% BMAC | Stratified |
| Chaffin et al ⁴ | 1.5 million cells | 100% BMAC | Stratified |

TABLE 4
Osteoarthritis Studies: Cell Number and Type, Marrow Harvest and Concentration, Stratification of Defect^a

Comparative Studies

Three comparative studies (2 with level 2 evidence,^{8,31} 1 with level 3 evidence²) were found in our search. One

study compared the use of MSCs obtained from peripheral blood with MSCs obtained from bone marrow concentrate for treatment of large (>4 cm²) osteochondral lesions.³¹ While both treatments were reported to be effective, treatment with mesenchymal cells from peripheral blood showed superior results compared with treatment with bone marrow concentrate.³¹ Another study compared clinical outcomes of 2 similar groups of patients with full-thickness patellofemoral cartilage lesions treated with a scaffold and either matrix-induced autologous chondrocyte implantation (MACI) or BMAC.⁸ Statistical analysis of various outcome scores, magnetic resonance imaging, and standing radiographs reported significant improvements for both MACI and BMAC groups. Patients treated with BMAC showed significantly greater improvements in International Knee Documentation Committee (IKDC) scores than MACI, but in other parameters, there was no significant difference. Centeno et al² compared the efficacy of autologous BMAC with or without an adipose-derived stem cell graft for treatment of knee osteoarthritis. They defined an adipose-derived stem cell graft as a 5- to 10-mL lipoaspirate extracted from the subcutaneous tissue on the superior buttocks or lateral thigh that was minimally processed via low-speed centrifugation or by allowing the layers to settle for several hours and then discarding the top layer. The addition of an adipose graft to the BMAC treatment was not reported to improve efficacy. However, both treatment groups received platelet-rich plasma (PRP) and plasma lysate in addition to BMAC, thereby making it difficult to determine which part of the treatment provided the most benefit.

Safety

The most common adverse events reported were swelling and pain. In 1 study, joint swelling was found in 92% of cases, while pain was reported in 41.3% of cases.¹⁶ The high incidence of swelling and pain reported in this study occurred on average 2 weeks after the injection of BMAC and adipose tissue and lasted for approximately 8 weeks.¹⁶ Centeno et al² reported joint swelling and pain in 36 of 681 (5.3%) patients (23 in the BMAC alone group and 13 in the BMAC and adipose graft group). Additionally, Centeno et al² reported a total of 57 adverse events (840 procedures), 3 (0.4%) of which were graded as severe. However, none of the adverse events categorized as “severe” were found to be secondary to the procedure, including 2 fatalities resulting from cancer. Some of the adverse events reported were: 2 cardiac cases, 2 cases of hematoma, 2 immune/allergic cases, and 1 renal case. Joint stiffness was reported in 2 studies,^{9,33} affecting 3 patients in total. Gobbi et al⁸ reported on 2 patients who had joint stiffness: 1 in the MACI group and another in the BMAC group. Both patients were treated with an arthroscopic lysis of adhesions with good results. One patient (2%) in a study by Skowroński et al³² was reported to have poor results due to intra-articular adhesions. Full range of motion was restored after arthroscopic lysis of adhesions. Eight of the studies included in this review reported no adverse events.

BMAC Extraction and Processing

The quantity of bone marrow aspirate extracted by most authors was 60 mL.^{3,4,8-10} However, Kim et al¹⁶ reported extraction of 120 mL and Skowroński et al^{31,32} used 30 mL of bone marrow. Gobbi et al⁸⁻¹⁰ and Kim et al¹⁶ utilized a Harvest Smart PreP2 System (Harvest Technologies) for centrifugation, and BMAC was activated using batroxobin enzyme (Plateltex Act; Plateltex SRO). Other authors^{3,4,7,31,32} processed their samples with MarrowStim Concentration Kit (Biomet), obtaining 3 to 4 mL of bone marrow concentrate.

Postprocedure Imaging, Second-Look Arthroscopy, and Quality of the Repair Tissue

Gobbi et al⁸⁻¹⁰ reported complete coverage of lesions seen on magnetic resonance imaging with hyaline-like

cartilage in 80% to 81% of patients. They presented with normal to nearly normal tissues (hyaline cartilage-like tissues) on biopsy performed at second-look arthroscopy.^{8–10} Gigante et al⁷ reported good defect filling with tissue signal similar to surrounding tissue at 12 months with no signs of bone marrow edema. However, Enea et al³ observed bone marrow edema and subchondral irregularities in all patients. Second-look arthroscopy was performed in 5 patients, resulting in 1 normal, 3 nearly normal, and 1 abnormal result. Histology showed hyaline-like repair tissue.⁴

Discussion

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The main finding of this review was good to excellent overall outcomes reported with the use of BMAC for the treatment of early knee osteoarthritis and moderate focal chondral defects. However, the level of evidence of the analyzed studies varied from 2 to 4.

In clinical studies, BMAC has been used to treat cartilage pathology, including both OA and focal chondral defects.^{7,8,10} Three studies reported BMAC to be effective in treating OA.^{2,12,16} However, these studies used different outcome measures and treatment protocols. Patients with moderate OA (Kellgren-Lawrence grade 2) were reported to have better clinical outcomes from BMAC administration compared with those with advanced OA (Kellgren-Lawrence grade 4).^{2,16} In a study by Hauser and Orlofsky,¹² patients received between 2 and 6 injections of whole bone marrow with 2- to 3-month intervals. All patients reported improvement of symptoms and quality of life at follow-up. One study² compared the treatment of osteoarthritis with injections of BMAC combined with PRP and platelet lysate, with and without adipose tissue. Subgroup analysis did not show a significant difference between the 2 groups. Importantly, of the 3 OA studies, the vast majority of patients came from 1 center.

The analyzed studies demonstrated a good effect for BMAC in treating focal cartilage defects, most of them treating large cartilage lesions (>3 cm²). The studies included report good subjective outcomes for BMAC. In some studies, BMAC was used together with microfracture and scaffolds,^{4,15} while in others, BMAC was used with scaffolds but without microfracture.¹⁰ In short-term follow-up, better outcomes were correlated with younger age (<45 years), smaller chondral lesion size, and fewer number of lesions, reporting good coverage of the defect as observed by magnetic resonance imaging or second-look arthroscopy⁹ (see [Table 1](#)). It should be noted that the 8 focal chondral studies considered in this study came from 5 centers, with the majority coming from 4 centers.

Basic science and animal model studies have reported promising results for using BMAC in treating cartilage pathology.^{6,29} BMAC contains MSCs, hematopoietic stem cells, platelets, growth factors, and cytokines. The anti-inflammatory and immunomodulatory properties of bone marrow stem cells can facilitate regeneration of tissue. MSCs have been reported to enhance the quality of cartilage repair by increasing aggrecan content and tissue firmness.²⁸

It is still not clear how BMAC can be best utilized for the treatment of different conditions and which of the components of BMAC are predominantly responsible for the desired effect. Cassano, Fortier, and colleagues reported that BMAC has a significantly greater amount of monocytes and interleukin-1 receptor antagonist (IL-1RA) (unpublished data). IL-1RA (inhibits IL-1 catabolism) is thought to be responsible for the beneficial effects of the biologic autologous conditioned serum.³³ The number of MSCs in bone marrow aspirates varies depending on the location of harvest, sex, and patient age, but overall, it constitutes a small quantity. In an

experimental study by Lavasani et al,¹⁸ the authors suggested that the therapeutic effects of the MSCs might be mediated by secreted factors. However, the mechanisms by which MSCs potentially act remain the subject of further investigation. Some papers report that there is a lower MSC count and chondrogenic capacity in the elderly population,²⁵ but the age limit has not been well defined.²⁴ Other factors such as comorbidities and medication can affect the quality of bone marrow aspirates. The dose response and optimal dose for treating cartilage pathology requires further research.

The number of treatments or injections needed to obtain the intended effect was not thoroughly examined in the studies. For focal cartilage treatment, the patients who underwent a single BMAC treatment reported good results. In the studies evaluating efficacy for the treatment of OA,^{2,12,16} most of the outcome scores demonstrated significant improvement. However, the number of injections varied and the use of other biologic injections was not standardized. Furthermore, the relatively short follow-up in most of these studies leaves concerns regarding the durability of these treatments.

Different augmentation methods have been used in conjunction with BMAC, including adipose tissue grafts, PRP, hyaluronic acid, and collagen matrices. The best method to potentially augment BMAC remains to be determined. PRP has been reported to have a positive healing effect in treating degenerative knee pathologies.¹⁹ It is not clear whether the effects seen in the BMAC studies are a result of BMAC, adjuvant therapies, or the synergic effect. Most of the studies analyzed used a collagen matrix in association with the BMAC. The need for a graft in BMAC treatment and the optimal graft are areas that need further investigation.

The safety of using mesenchymal stem cells remains an issue. There is a concern that these cells can further develop into an unwanted lineage as oncologic cells.¹ Factors that influence the differentiation of the mesenchymal stem cells are still poorly understood. Centeno et al² reported the frequency of adverse effects after the procedure to be 6% for BMAC and 8.9% for BMAC with adipose graft. Self-limited pain and swelling were the most commonly reported adverse events. Although the authors did not define “severity,” 0.4% of adverse effects were considered severe, but it was not possible to establish a causative relationship with the procedure. Most studies in this search have short follow-up and include few patients; therefore, complications regarding cell differentiation might not be easily detected. Furthermore, 2 of the larger studies did not report on postprocedure complications.

In spite of a growing interest for the use of biological alternatives for treating knee pathology in past years, few studies were found regarding the use of BMAC during this systematic review. The current knowledge on this subject is still preliminary, as demonstrated by studies conducted with few patients, short-term follow-up, different outcome measures, and generally poor methodology. Most of the studies used different scoring systems at follow-up, making it difficult to compare results between them. To further the existing knowledge of BMAC, randomized studies with placebo or control groups are essential.

The authors recognize that this systematic review has limitations. First, there was little uniformity in reporting subjective and objective outcomes for BMAC treatment. In addition, BMAC treatment was used as an adjuvant therapy in many cases, which impedes the ability to isolate the efficacy of BMAC used as a monotherapy. All the included studies had additional cartilage procedures performed, and many had additional realignment or ligament surgery as well. Additionally, no placebo or control groups were used, making comparative analysis very difficult. The relatively short follow-up reported in most of the studies impedes assessment of the real outcome of this procedure in the long term. As with any systematic review, it is possible that relevant articles or patient

subgroups were not identified with our search terms and literature review.

Conclusion

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BMAC treatment appears to be a safe procedure that is growing exponentially, most likely because it represents one of the few categories allowed by the FDA to deliver stem cells (minimally manipulated). All the studies included in this systematic review reported good results, but they used different outcome measures and this heterogeneity does not allow for direct comparison.

There is a need for well-conducted randomized controlled trials with large sample sizes and defined end points to further evaluate the efficacy of BMAC for the treatment of knee pathologies. Such studies would help elucidate the safety, duration, aspirate amount, dose, need for a scaffold, and efficacy of BMAC treatment. While BMAC is used by many centers around the world, there remains a lack of level 1 or 2 evidence studies to support its use; therefore, we recommend careful usage of this modality until there is stronger evidence available.

Footnotes

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